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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/030,519	06/05/2002	Burhus Lang	TRG-299	5973

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PEASE INTERNATIONAL TRADEPORT  
PORTSMOUTH, NH 03801

EXAMINER
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BOCKELMAN, MARK

ART UNIT	PAPER NUMBER
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3766

DATE MAILED: 08/14/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Office Action Summary</b>	<b>Application No.</b> 10/030,519	<b>Applicant(s)</b> LANG ET AL.	
	<b>Examiner</b> Mark W. Bockelman	<b>Art Unit</b> 3766	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

#### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### Status

- 1) ☒ Responsive to communication(s) filed on 26 May 2006.
- 2a) ☒ This action is **FINAL**.                      2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### Disposition of Claims

- 4) ☒ Claim(s) 16-35 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 16-35 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

#### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

#### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

#### Attachment(s)

- |   |   |
|---|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)                        | 4) <input type="checkbox"/> Interview Summary (PTO-413)                     |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)    | Paper No(s)/Mail Date. _____  |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| Paper No(s)/Mail Date _____   | 6) <input type="checkbox"/> Other: _____                                    |

## **DETAILED ACTION**

### ***Claim Rejections - 35 USC § 101***

Claims 16-35 are rejected under 35 U.S.C. 101 because the disclosed invention is inoperative and therefore lacks utility. The invention as claimed seems to defy engineering principles in that the metal strip that surrounds the functional electrode provides current equilization without being connected electrically to the same circuit as the functional electrode. The examiner notes that on page 5 lines 20-24 of applicant's specification that applicant has test results for neutral electrodes however no mention of current application. The examiner would consider withdrawing the rejection if applicant were to present results commensurate in scope with the claims showing the improvement in thermal loading and current distribution.

### ***Claim Rejections - 35 USC § 102***

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claims 16-28,30-32 are rejected under 35 U.S.C. 102(b) as being anticipated by Canadian patent 1,219,642.

Canadian patent 1,218,642 shows several embodiments of electrode configurations. In figure 3, 3 potential electrode elements 30, 33, 36 are shown that

Art Unit: 3766

extend to a tab 32 with three uncontacted elements. The elements are each capable of being contactable by an electrode or can be consider "free" of a contacting element depending upon the intended use. Applicant's circular rings as shown in their drawings are all capable of being contacted by an electrical energy applicator. Thus, the examiner designates which elements are contactable in terms of intended use in interpreting applicant's claims. In claims 16-21, 23-28, 30 and 32 the examiner considers the contactable conductor to be electrode 30 and the uncontacted conductor to either elements 33 and/or 36. Regarding claims 22, the examiner considers the inner uncontacted conductor 33 to extend into the space between contactable conductors 30 and 36. Regarding claim 31, the examiner considers the conductors to be formed in alternative (interleaved) surfaces (figure 4) wherein starting with the most inner conductor, every other conductor is contactable and the others are uncontacted. The method step would be inherent since the method does not require the uncontacted metal surface to remain unconnected to the electrical stimulator. If applicant where to amend the method claims to state that the uncontacted conductor is not connected electrically to the electrical stimulator during electrical stimulation the examiner would look favorably upon the claims.

### ***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

Art Unit: 3766

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claim 29 is rejected under 35 U.S.C. 102(b) as anticipated by or, in the alternative, under 35 U.S.C. 103(a) as obvious over Canadian patent 1,219,642. It is unclear as to which figure, if any, applicant has drafted claim 29 to cover. It appears that applicant considers two radially spaced contactable electrodes to have "substantially equal" surface areas and peripheral lengths. Since radially spaced electrodes would have both their peripheral lengths and surface areas vary as a function of the radius, it would appear that "substantially equal" has a fair degree of latitude. In this respect, the examiner considers the hook configurations of the the various conductors in figure 4 of the Canadian reference to have "substantially equal" surface areas and peripheral lengths, and or it would have been obvious to modify the thicknesses of the electrodes to within such a range as an obvious design choice.

### ***Response to Arguments***

Applicant's arguments filed 5-26-2006 have been fully considered but they are not persuasive. The structure of the Canadian '642 is similar to applicant's and thus would have the same properties if used in the same way. The examiner considers the term "uncontacted" to be a negative intended use, and it would be inherent to connect the contacts one at a time. Whether a device is contacted or uncontacted would require a circuit to have any meaning. The examiner has proposed an examiner's amendment

Art Unit: 3766

to applicant which the examiner would still find acceptable coupled with a showing of results as noted above.

***Conclusion***

**THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

The examiner notes that the response to the amendment filed April 10, 2006 which has essentially the same claims could have been made final with the current office action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Mark W. Bockelman whose telephone number is (571) 272-4941. The examiner can normally be reached on Monday - Friday 10:00 to 6:30.


Art Unit: 3766

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Robert Pezzuto can be reached on (571) 272 -6996. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

MWB

August 7, 2006



MARK BOCKELMAN  
PRIMARY EXAMINER